# Independent evolution of sex chromosomes and male pregnancy-related genes in two

#### seahorse species 2

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#### Abstract

- 2 Unlike birds and mammals, many teleosts have homomorphic sex chromosomes and
- 3 changes in the chromosome carrying the sex-determining locus, termed "turnovers", are
- 4 common. Recent turnovers allow studies of several interesting questions. One question
- 5 is whether the new sex-determining regions evolve to become completely non-
- 6 recombining, and if so, how and why. Another is to test the prediction that evolutionary
- 7 changes that benefit one sex will accumulate in the newly sex-linked region. To study
- 8 these questions, we analyzed the genome sequences of two seahorse species of the
- 9 Syngnathidae, a fish group in which many species evolved a unique structure, the male
- 10 brood pouch. We find that both seahorse species have XY sex chromosome systems,
- and their sex chromosome pairs are not homologs, implying that at least one turnover event has occurred. The Y-linked regions respectively occupy 63.9% and 95.1% of the
- entire chromosome of the two species, and do not exhibit extensive sequence
- 14 divergence with their X-linked homologs. We find evidence for occasional recombination
- between the extant sex chromosomes that may account for their homomorphic pattern.
- 16 We argue that these Y-linked regions did not evolve by recombination suppression after
- the turnover. Instead, it can be explained by the ancestral nature of low crossover rate
- 18 at the corresponding chromosome location. With such an ancestral crossover
- 19 landscape, a turnover can instantly create an extensive Y-linked region. Finally, we
- 20 investigate the adaptive evolution of male pouch related genes after they become Y-
- 21 linked in the seahorse.

#### Introduction 1

- Studies of young sex-linked regions are important for understanding the processes 2
- involved in the initial evolution of sex chromosomes, including how their lack of 3
- 4 recombination arose, and the time-course of subsequent adaptive and degenerative
- changes (reviewed by Bachtrog, et al. 2014). The discovery that sex-determining 5
- regions (SDR) have evolved independently in different fish species (reviewed by (Pan, 6
- 7 et al. 2016)) offers opportunities for such studies. A major hypothesis to explain the lack
- 8 of recombination between sex chromosomes is the sexually antagonistic (SA)
- polymorphism hypothesis, involving selection generated by conflicts or fitness trade-offs 9
- between alleles' effects in the two sexes (Rice 1987). Such selection can establish a 10 two-locus polymorphism with alleles at the locus under SA selection associated with 11
- alleles at the sex-determining locus, which generates selection for closer linkage 12
- 13 between the two genes (Bull 1983). This process of expanding the non-recombining
- region can be repeated, causing successive recombination suppression events, at 14
- 15 different evolutionary times, each with a distinctive level of sequence divergence
- between Y-X or W-Z sex-linked gene pairs. Such so-called "evolutionary strata" have 16
- been detected in mammalian XY chromosome pairs (Lahn and Page 1999; Bellott, et al. 17
- 2014; Cortez, et al. 2014). It was proposed that these recombination suppression 18
- events are caused by inversions (Lahn and Page 1999), and and there is evidence 19
- supporting this for at least one human stratum (Lemaitre, et al. 2009), and a stratum in 20
- 21 payaya (Wang, et al. 2012), though not in the threespine stickleback (Peichel, et al.
- 2020). Recombination suppression does not require an inversion, and can also evolve 22
- via recombination modifiers that control crossovers in specific genome locations. Other 23 species with female heterogamety, including birds, also appear to have evolutionary 24 strata (Zhou, et al. 2014).
- 25
- In contrast to the ancient mammalian and bird sex chromosomes, non-homology 26 of the sex chromosomes of closely related species has been documented in several 27 teleosts, amphibians and plants, indicating that changes, or "turnover events" have 28 29 occurred (reviewed by (Vicoso 2019), sometimes even between different populations of 30 the same species (e.g., frog (Miura, et al. 2012)). Turnovers might also involve SA polymorphism, as such a polymorphism can favor the appearance of a new sex-31 determining gene in its closely linked genomic region, or can become established near 32 a sex-determining gene that has appeared in a new genome region for some other 33 34 reason (van Doorn and Kirkpatrick 2007).
- Turnover events can offer opportunities to test the SA polymorphism hypothesis. 35 This hypothesis is extremely difficult to test (see, for example(Olito, et al. 2022)), 36 because documenting that a balanced polymorphism that is being maintained is 37 challenging; and the additional requirement for evidence that SA selection is involved 38 makes the task even harder, though population genomic approaches are starting to be 39 developed (Qiu, et al. 2013; Dagilis, et al. 2022). 40

While old-established turnovers can be investigated to test whether new completely
sex-linked strata have subsequently evolved, recent turnover events can reveal

- alternative origins of large sex-linked genome regions. Because SA polymorphisms are
- expected to be maintained mainly at loci very closely linked to the sex-determining locus
  (Jordan and Charlesworth 2012), they are unlikely to lead to evolution of large sex
- 6 linked genome regions unless a large inversion happens to suppress recombination
- 7 across the region that includes the SA and sex-determining loci, and becomes fixed in
- 8 the population; invoking recurrent such events in multiple organisms seems implausible
- 9 (Olito, et al. 2022). Therefore, if an extensive sex-linked region is found after a recent
- 10 turnover, alternative possibilities should be considered.

A complementary approach is to test whether the genome region linked to a new 11 male-determining locus starts accumulating SA mutations. Under male heterogamety, 12 for example, mutations can spread if they benefit aspects of male fitness enough to 13 14 outweigh any deleterious effects in females. Even if the restrictive conditions are not satisfied for such mutations to establish polymorphisms (Jordan and Charlesworth 15 2012) and create selection for linkage with the male-determining gene, their 16 accumulation or fixation in the population (replacing both the ancestral Y- and X-linked 17 18 alleles) can potentially be detected without needing to detect balancing selection. The Syngnathidae are suitable for such studies because, uniquely among animals, they 19 have evolved the male brood pouch, in which males fertilize the eggs and nurture the 20 offspring until hatching (Whittington, et al. 2015). 21 We here study several species of Syngnathidae, a teleost group that includes 22 23 seahorses, seadragons and pipefish (Stiller, et al. 2022). The syngnathids' male pregnancy reproductive behavior is unique among animals, and its evolution appears to 24 have involved modifications of the immune gene repertoire, including loss or rapid 25 sequence evolution of several major histocompatibility complex (MHC) genes, which 26

may reflect trade-offs between immunological tolerance and embryo rejection (Roth, et

al. 2020). There is evidence that male Gulf pipefish actively abort embryos of less
attractive females (Paczolt and Jones 2010). Therefore this group of teleosts has been

30 frequently used to study the evolution of novel morphological traits, parent-offspring

conflicts, sexual conflicts and sexual selection (Vincent, et al. 1992; Lin, et al. 2016; Li,
et al. 2021).

Our study focuses on the genomes of two seahorse species, the big-belly 33 seahorse, Hippocampus abdominalis and the lined seahorse, H. erectus, and two outgroup 34 species within the Syngnathidae, the Gulf pipefish, Syngnathus scovelli, and common 35 seadragon, *Phyllopteryx taeniolatus* (Figure 1A). We recently generated a high-quality 36 chromosome level genome assembly of a male big-belly seahorse (He, et al. 2021). 37 Using this as a reference, we newly produced and analysed genomic and transcriptomic 38 data from both sexes of this species, and those from its related species, the lined 39 40 seahorse. Here we now (i) identify the sex-determining regions of the two seahorse

- 1 species, and show that at least one of them specifically evolved within the seahorse
- 2 lineage, (ii) describe evidence that both seahorse species have large Y-linked regions,
- and investigate how these regions' reduced recombination evolved, and (iii) test
- 4 whether these Y-linked regions have undergone genetic degeneration and/or adaptive
- 5 changes, including whether SA selection is suggested. This test is based on the
- 6 prediction that seahorse Y-linked regions should become enriched with male-specific
- 7 pouch-expressed genes. Acquisition of such genes may involve movements from other
- chromosomes, probably requiring a long evolutionary time. If, however, a Y-linked
   region carrying many genes evolved recently, as the case of the sex chromosome of
- big-belly seahorse shown here, we might expect to detect an earlier stage of adaptation.
- in which pouch-expressed genes that are located in the region start evolving higher
- 12 expression.
- 13

### 14 Results

### 15 Chromosome evolution of seahorse and Gulf pipefish species

16 We first describe our new results establishing that both seahorse species studied have

17 extensive sex-linked regions that include many genes. We also evaluate the extent of

18 sex-linkage and the evidence that it evolved recently in at least one of the seahorse

19 lineages represented by our study species. Little was previously known about seahorse

20 sex chromosomes, though a cytogenetic study suggested that the lined seahorse

- 21 (*Hippocampus erectus*) might have a ZW sex chromosome pair (Liu, et al. 2020), which
- 22 our results below do not support.
- Cytogenetic studies reported diploid chromosome numbers ranging from 2n=44 (in *H. erectus* and the Gulf pipefish, *S. scovelli*) to 2n=58 among syngnathids, with most
- seahorse chromosomes being acrocentric, while those of Gulf pipefish are
- 26 (sub)metacentric (Vitturi, et al. 1998; Liu, et al. 2020). The teleost ancestor was inferred
- to have 24 pairs of acrocentric chromosomes (Ohno 1974; Vitturi, et al. 1995; Mank and
- Avise 2006; Yoshida and Kitano 2021) suggesting two chromosome fusion or
- translocation events in the Syngnathidae lineage, as already suggested for the Gulf
- pipefish (Small, et al. 2016). In *H. abdominalis*, over 99% of the haploid genome is
- assembled into 21 chromosomes (He, et al. 2021), indicating one additional fusion or
- translocation event specific to *H. abdominalis* (Supplementary Fig. S1). Most *H.*
- 33 *abdominalis* chromosomes each show one-to-one sequence homology with a single *S*.
- 34 *scovelli* or Nile tilapia chromosome, but distinct parts of Chr11 are homologous to
- separate *S. scovelli* chromosomes, LG15 and LG17 (Figure 1B) and Nile tilapia (Figure
- **1C**). This suggests a chromosome fusion after the split from *H. erectus*, which is
- estimated to have occurred 11.4 MY ago (He, et al. 2021).
- Sequences of the *H. abdominalis* Chr6 (which, as shown below, is this species'
   sex chromosome pair) can be aligned to two chromosomes of the distantly related non teleost fish, *Lepisosteus oculatus* (the spotted gar, more details below in Fig. 3D). This

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rearrangement is additional to the two chromosome fusion or translocation events within
the Syngnathidae lineage (see above). It probably occurred before the teleost whole
genome duplication and species radiation (Bian, et al. 2016), since homologs of these
two gar chromosomes are also fused in many other teleosts, including zebrafish (Howe,
et al. 2013), stickleback (Braasch, et al. 2016), arowana (Bian, et al. 2016), medaka and
the guppy, in which the fused chromosome is the one named LG16 (Supplementary Fig.
S2).

Compared with S. scovelli, the H. abdominalis genome is 44.8% larger, and all 8 9 three seahorse species analysed have higher repeat contents (1.38-fold for H. erectus, 2.07-fold for H. abdominalis, and 1.40-fold for H. comes, the tiger-tail seahorse, see 10 Supplementary Fig. S<sub>3</sub>). This indicates *Hippocampus*-specific expansions of several 11 transposable element (TE) families (Supplementary Table S<sub>2</sub>), especially the DNA 12 transposon TcMar-Tigger, Long Terminal Repeat (LTR) Ngaro subfamilies (Figure 1A), 13 as well as two subfamilies of short interspersed nuclear element (SINE) tRNA-Core-L2 14 and DNA transposon Zator, which are both concentrated at the chromosome fusion 15 16 junction in the middle of Chr11 of *H. abdominalis* (Figure 1D). About 55.2% and 15.9% of 17 the entire genome's tRNA-Core-L2 and Zator sequences, respectively, are within this junction region (Supplementary Table S<sub>3</sub>). These two repeats are more abundant in the 18 H. abdominals genome than in H. erectus (1.48 and 2.12 times higher, respectively; 19 Supplementary Table S<sub>4</sub>), suggesting that they have recently expanded in *H. abdominals*. 20 21 As we did not detect any telomeric repeats at the junction site, the tRNA-Core-L2 and Zator repeats might mark *H. abdominalis* centromeric regions. 22 23 The same two transposon sequences were indeed enriched at one end of 18 of the other 20 H. abdominalis chromosomes (Supplementary Table S<sub>5</sub>). Centromeric 24 repeats commonly differ between species (Melters, et al. 2013), and the sequences 25 identified here did not show homology with centromeric repeats reported in other 26 27 teleosts. By examining regions homologous to the fusion junction site of *H. abdominalis* Chr11, we also identified putative centromeric repeats in *H. erectus* and *S. scovelli*. They 28 are also enriched at the ends of the two chromosomes corresponding to linkage groups 29 30 LG15 and LG17 of S. scovelli (Supplementary Table S6, Figure 1D), supporting the centric fusion hypothesis outlined above for *H. abdominals* Chr11. 31

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## 33 Chromosome-wide recombination patterns in seahorses

To initially assess recombination landscapes before searching for sex-linked regions in

- our seahorse genomes, we describe features of the chromosome sequences of *H*.
- *abdominalis*, *H. erectus* (Lin, et al. 2017) and *S. scovelli* (Small, et al. 2016) that relate to
- 37 recombination rates. First, the chromosomes exhibit spikes of repeat content at the
- inferred centromeric ends in all three species (Vitturi, et al. 1998; Liu, et al. 2020). The
- 39 chromosomes also display pronounced patterns in GC content: based on the

centromeric positions inferred above, the other ends of the chromosomes (which we term "tip regions") show spikes of GC content in both seahorse genome assemblies (Figure 1E, P < 0.001, by Mann–Whitney U tests), resembling findings in many other

- teleosts (Costantini, et al. 2007; Matoulek, et al. 2020; Cheng, et al. 2021). The tip
- 5 regions with GC spikes identified by change-point analyses of GC content within each
- chromosome (see Materials and Methods and Supplementary Fig. S4) occupy 4.93% of
   the entire genome. This probably reflects GC-biased gene conversion (gGBC)
- 8 associated with highly localized crossovers (Arbeithuber, et al. 2015) at one
- 9 chromosome end in at least one sex, as suggested for the guppy, in which crossover
- 10 rates in males correlate with intronic GC values (Charlesworth, et al. 2020).
- 11 Correspondingly, GC contents of pericentromeric regions of each seahorse
- chromosome (defined as 3Mb regions flanking the putative centromeric marks in Figure
- 13 **1D**) are significantly lower than in the rest of the respective chromosomes (P < 2.2e-16,
- 14 Wilcoxon test, **Supplementary Fig. S5**). These regions account for at least 18% of the *H*.
- abdominalis genome. Chromosome-wide GC patterns are much less clear in *S. scovelli*.
- Based on the centromeric positions inferred above in *S. scovelli*, (Supplementary Fig. S6,
- 17 **Supplementary Table S5**) 8 of its 22 chromosomes are inferred to be metacentric,
- submetacentric or subtelocentric, and intrachromosomal rearrangements may have
- 19 changed the overall GC patterns.

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- No dense genetic maps are yet available for seahorses. However, sex-averaged recombination rates (rho values), estimated by analyzing linkage disequilibrium across *H. abdominalis* chromosomes (using 30 males and 29 females from a cultured population, see **Materials and Methods**), show the expected tendency to be highest in regions with high GC content (Pearson correlation r = 0.35, *P* < 2.2e-16; **Supplementary Fig. S7**), consistent with high meiotic crossover rates in those regions, and low rates in other regions in one or both sexes.
- Although direct evidence from sex-specific genetic mapping data is not yet available in the seahorse species studied here, independent evidence supports the conclusion that strong crossover localization to small chromosome end regions occurs specifically in males. Genetic mapping of microsatellite markers estimated a 10-fold higher recombination rate in females than in males in the Western Australian seahorse, *H. angustus* (Jones, et al. 1998). This suggests that, in males, recombining regions are physically small, so that most markers will be in large regions that rarely cross over.
- 35 Sex-linked regions of three Syngnathidae species
- 36 To identify the regions that include the sex-determining genes, we sequenced the
- 37 genomes of 30 and 10 individuals, respectively, of each sex of captive populations of *H*.
- 38 *abdominalis* and *H. erectus* at around 15x coverage per individual. Since no chromosomal
- assembly of *H. erectus* was available, we assembled the *H. erectus* sequences using

RaGOO (Alonge, et al. 2019) to order and orient scaffolds into chromosomes using the
 *H. abdominalis* genome assembly as a reference.

Given the known great diversity of teleost sex-linked regions (Kottler and Schartl 3 4 2018; El Taher, et al. 2021; Pan, Feron, et al. 2021), and the absence of prior information from seahorses, other than that sex chromosome hetermorphism has not 5 been detected (Liu, et al. 2020; Feron, et al. 2021), we combined multiple approaches 6 7 to search for completely sex-linked regions. These include comparing males and 8 females for genomic read coverage and sequence diversity (or SNP density) and estimating F<sub>ST</sub> between the sexes. If a Y- or W-linked region has become highly 9 degenerated, the genomic coverage of the X- or Z-linked region should be twice as high 10 in the homogametic as the heterogametic sex, and Y- or W-specific sequences should 11 have coverage close to zero in the homogametic sex. SNP density and F<sub>ST</sub> between the 12 sexes can potentially detect less degenerated fully sex-linked regions, which may 13 nevertheless include many sex-specific variants, especially if the regions are extensive, 14 15 while genome-wide association studies (GWAS) treating sex as a binary trait can detect the sex-linked regions whose sequences are even less differentiated, or are physically 16 small, given a sufficiently high density of sequence variants (Palmer, et al. 2019; Vicoso 17 2019). 18 Neither of the two seahorse species, nor the Gulf pipefish, exhibits a pronounced 19 sex difference in coverage or SNP density in any chromosomal region, except for the 20 21 highly repetitive fusion site in Chr11 in *H. abdominalis* (Supplementary Fig. S8-14). Their sex-linked regions may have originated too recently for genetic degeneration to have 22 led to major gene loss, or for extensive sequence divergence to have evolved. Although 23 24 accurate read mapping can be hindered by polymorphic repetitive elements within Y-25 linked regions, this should lead to low coverage in males, and is unlikely to lead to falsely suggest a recent origin. Also, neither seahorse species has any genomic region 26 27 whose read coverage suggests a sex-specific duplication. The AMHR2 gene has evolved 28 by such a duplication to be a male-determiner (termed AMHR<sub>2</sub>Y) in several other 29 teleosts (Herpin and Schartl 2015; Pan, et al. 2016), and a duplicate putatively sex-30 determining AMHR<sub>2</sub>Y gene is found in two other syngnathids (Qu, et al. 2021). In both 31 seahorse species, however, this gene has an equal coverage in both sexes 32 (Supplementary Fig. S15), consistent with the previous conclusion that it is absent from 33 the seahorse lineage (Figure 1A) (Qu, et al. 2021). A previous GWAS study based on 34 RAD-seq data also failed to identify any sex-linked region in *H. abdominalis* (Feron, et al. 35 2021). 36 In contrast to the approaches just outlines, GWAS results using whole-genome 37 resequencing data of individuals from captive populations (Materials and Methods) did

detect sex-linked regions in both seahorse species studied here, *H. abdominalis* and *H.* 

*erectus.* Indeed, significantly sex-associated SNPs are found across about two thirds of

40 the *H. abdominalis* Chr6, based on 30 individuals of each sex from the same family

(Figure 2C, Supplementary Fig. S16), and almost the entire *H. erectus* Chr4, based on 10

- 2 individuals of each sex (Figure 2D). We denote these chromosomes by HaChr6 and
- 3 HeChr4, respectively. In the regions just mentioned, 82% of *H. abdominalis* sex-
- 4 associated SNPs are heterozygous in most, but not all, males examined (see below),
- 5 and homozygous in most females (Figure 2E-F). In *H. erectus*, 89.3% of the sex-
- 6 associated SNPs are heterozygous in most males, and homozygous in most females.
- 7 None of the sex-associated SNPs in *H. abdominalis*, and only 0.4% in *H. erectus* shows
- 8 higher frequencies of heterozygotes in females. The concentration within large
- 9 contiguous chromosome regions of sites that are heterozygous much more often in
- 10 males than females indicates that these extensive regions are either fully or partially,
- 11 but closely, linked to the sex-determining loci in these seahorses.
- In the same captive samples of both species, the candidate sex-linked regions exhibits higher differentiation between the sexes (measured by  $F_{sT}$ ) than the rest of the
- 14 chromosome, or other chromosomes (P<0.001, by Mann–Whitney U tests) (Figure 2C),
- and, importantly, heterozygote frequencies are higher in males than females, as
- detected by negative  $F_{IS}$  values across the sex-differentiated region (*P*<0.001 by Mann–
- 17 Whitney U tests, see Supplementary Figs. S17-18). These results all support male
- 18 heterogamety with extensive Y-linked regions in both species. Although some other
- 19 genome regions also show high  $F_{ST}$  values between the sexes (including part of *H*.
- 20 erectus Chr13, Supplementary Fig. S18, 20), only the candidate sex-linked regions on
- 21 HeChr4 and HaChr6 are supported by GWAS analyses. The elevated F<sub>ST</sub> between the
- sexes, and strongly negative  $F_{1S}$  specific to the differentiated region, are especially clear
- 23 in HeChr4. We conclude that the previously suggested ZW system in *H. erectus* (Liu, et
- al. 2020) is not correct, at least for our material (it is possible that heterogamety might
- vary, as in the platyfish or *Gambusia* species (Black and Howell 1979; Volff and Schartl
- 26 2001). Overall, these results, together with those in the previous section, suggest that
- both the seahorse species studied have highly similar, homomorphic sex chromosomes,
   or physically small completely sex-linked regions. Therefore, either complete sex-
- 29 linkage evolved recently or these regions recombine often enough to prevent Y
- 30 chromosome divergence (see below).

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We also analysed the published restriction site-associated DNA sequencing 31 (RAD-Seq) data with on average 9.5x sequencing coverage per individual from 167 32 33 male and 57 female S. scovelli individuals from a wild population (Flanagan and Jones 34 2017). Neither GWAS nor F<sub>st</sub> analyses, nor analysis of coverage, identified any 35 contiguous sex-linked region (Figure 2A, Supplementary Fig. S11), and GWAS analysis 36 of the RAD-seq data did not identify the heterogametic sex (Figure 2A, Supplementary Fig. S19): among the 419 sites that were significantly associated with sex in the natural 37 population studied, with P < 0.05 by Chi-squared tests, 248 sites were heterozygous 38 only in males, suggesting male heterogamety, but 171 suggested female heterogamety 39

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- 1 (Figure 2B). These variants are distributed across many different chromosomes. RAD-
- 2 seq variants are probably too sparse for inferring the actual sex-determining locus.
- 3 These data, together with the previously reported female-biased sex-ratios (Bolland and
- 4 Boettcher 2005) in a wild *S. scovelli* population suggest that *S. scovelli* might have
- 5 environmental sex determination (ESD), though this remains uncertain.
- 6

## 7 Sex chromosome turnover(s) in seahorses

- 8 An autosome must have evolved into a sex chromosome in one or both of the two
- 9 seahorse species studied. Two outgroup species, *P. taeniolatus* and *S. biaculeatus*, also
- 10 have XY systems (Qu, et al. 2021). The Syngnathidae ancestor could therefore either
- 11 have had an XY system or environmental sex-determination. The homolog of Chr4, with
- the inferred Y-linked region in *H. erectus*, is also a sex chromosome in several cichlid
- species (El Taher, et al. 2021), and in *P. taeniolatus*. However, it is unlikely that *H. erectus* inherited it as a sex chromosome from a syngnathid ancestor, because as mentioned
- above, both Syngnathidae species so far studied carry the *AMHR*<sub>2</sub>Y duplication, but the
- 16 HeChr4 chromosome does not, and must carry a different male-determining gene. A
- 17 turnover in *P. taeniolatus* and *S. biaculeatus* lineage that includes the two species with the
- 18 AMHR<sub>2</sub>Y duplication cannot currently be excluded. Among the cichlid species that were
- 19 shown to have the homologous sex chromosome (numbered LG07 in cichlids, Figure
- 1C), some species have ZW sex systems, and Chr4 is likely to have become a sex
- chromosomes convergently in different tribes (El Taher, et al. 2021). Taken together,
- the data show that the Y-linked region on chr6 of *H. abdominalis*, and probably also that
- 23 on chr4 of *H. erectus* must have evolved recently, after their divergence from the
- 24 common ancestor of seahorses.
- 25

Defining the boundaries between sex-linked regions and the adjacent pseudoautosomal
 regions (PARs)

- 28 We next describe further genomic evidence in support of the idea above that the
- 29 seahorse sex chromosomes have large regions that recombine rarely, and small
- 30 pseudo-autosomal regions. Based on the locations enriched with the centromeric repeat
- marks noted above (the DNA transposons Zator in *H. abdominalis*, and hAT and LTR
- Pao in *H. erectus*, Figure 1D, Supplementary Fig. S21), we inferred that the HaChr6 and
- 33 HeChr4 sex chromosomes are metacentric or submetacentric, like their autosomal
- homologs in the other species studied (Figure 1D, Supplementary Fig. S21). Within
- HaChr6 and HeChr4, change-point analyses using 50kb sliding windows identified
- $_{36}$  significant changes between adjacent regions in the between-sex  $F_{ST}$  values, or using
- the *P*-values of our GWAS analyses, or the densities of heterozygous sites in males
- 38 (Figure 3 and Supplementary Fig. S17). These significant changepoints allow us to define
- the boundaries between regions with different strengths of sex-linkage. In *H. erectus,* a

single clearly defined PAR was inferred, occupying only 1.28 Mb (4.9% of the HeChr4 1 2 length); a small and very fragmented region at the other chromosome end is homologous to the high-GC region of the homologous H. abdominalis chromosome, and 3 could be a second PAR (Supplementary Fig. S22). As HaChr6 is derived via an ancient 4 centric fusion between two chromosomes, two PARs might be expected, because the 5 6 evolution of sex-linkage on one arm might not be expected to lead to suppressed 7 recombination on the other arm. We indeed found a PAR at each end of HaChr6 (Figure **3A-B**). GWAS analysis suggests two possible PARs (7.04Mb and 1.79Mb long, 8 respectively) together occupying 8.83 Mb in total (36.1% of HaChr6), but the transitions 9 in F<sub>st</sub> and density of male heterozygotes defining them (and especially the right-hand 10 one, on the arm derived from LG11 of *L. oculatus*, see Figure 3D), are not as sharp as the 11 12 one defining the *H. erectus* PAR boundary. The regions inferred as "non-PAR" probably still recombine, at least occasionally, 13 as associations between genotypes of the sex-linked variable sites and phenotypic sex 14 15 (based on brood pouch development in mature fish) were incomplete, in both species (some apparently male homozygotes or female heterozygotes were found within the 16 putatively sex-linked regions; Figure 2E-F). This might reflect sex-reversals, as reported 17 in European tree frogs (Stöck, et al. 2011), and in the wild in a seahorse species H. reidi 18 (Freret-Meurer, et al. 2021). We genotyped 10 individuals of each phenotypic sex for 10 19 randomly picked *H. abdominalis* sex-associated SNP sites scattered within the candidate 20 completely sex-linked region detected by our initial GWAS analyses. Seven tested sites 21

were heterozygous more often in males than in females (Supplementary Fig. S23,

23 Supplementary Table S7-8), but three were homozygous in all 20 *H. abdominalis* 

individuals tested, of both sexes. Among 59 *H. abdominalis* individuals and 20 *H. erectus* 

25 individuals from captive populations whose whole genomes were sequenced in this

study (Materials and Methods), 15% and 10% of the individuals, respectively, had

27 genotypes expected for the sex other than their phenotypic sex at almost all

polymorphic sites ascertained as showing sex-associations (Figure 2E-F). Sex linkage is
 therefore not complete in either sex.

Despite the physically large sizes of the apparently sex-linked regions of HeChr4 30 and especially HaChr6, their sex-determining systems (especially in *H. erectus*) could 31 have evolved recently. This can be further tested in the future by studying other more 32 closely related seahorse species, such as the sister species of *H. erectus*, *H. hippocampus* 33 (Li, et al. 2021). The sex-linked regions of either or both species studied here could 34 either have evolved as a direct consequence of ancestral crossover localisation (as 35 proposed in the guppy (Bergero, et al. 2019)), or it could have evolved subsequently. In 36 either case, given enough time, this would allow evolution of sequence variants 37 associated with the male-determining allele (linkage disequilibrium, or LD). If 38 39 recombination was not completely suppressed, Y-X divergence would remain low, and

- 1  $\,$  genetic degeneration would not occur, but  $F_{\text{ST}}$  between the sexes could be higher than
- 2 elsewhere in the genomes, as it reflects LD (Charlesworth, et al. 1997).
- 3

4 Ancestral low recombination rates and seahorse sex chromosome evolution

5 In both *H. abdominalis* HaChr6 and *H. erectus* HeChr4, the centromere positions inferred

6 above are consistent with (peri)centromeric regions being within the sex-linked regions

7 (Figure 3A-B). This is also supported by the fact that, in chromatin interaction data from

8 *H. abdominalis* (He, et al. 2021), 76.9% of the HaCh6 sex-linked region is classified as

- 9 repressive chromatin domains (the B compartment in Figure 3A); as expected, A
- 10 compartment genes have significantly higher expression (for example in brain and
- testis) than B compartment ones (P < 2.2e-16, Mann–Whitney U tests). The sexdetermining genes of both seahorse species may therefore have evolved within
- 12 determining genes of both seanoise species may therefore have evolved within 13 pericentromeric regions with ancestrally low recombination rates. The autosomal
- regions homologous to each of these regions in the other seahorse species and the
- 15 Gulf pipefish also have significantly lower GC content than other genome regions,
- 16 consistent with repressive chromatin states being established before these regions

became sex-linked (*P* < 0.001 by Mann–Whitney *U* tests, Figure 3C).

We next asked whether the PAR of either species was formerly larger, and has 18 become smaller by chromosome rearrangements, enlarging the ancestrally sex-linked 19 regions. We searched the PAR boundaries and their flanking regions for evidence of 20 inversions that might have suppressed recombination, by examining the paired-end 21 relationships of Illumina reads and male PacBio reads of both species spanning the 22 23 regions, but found no evidence for such rearrangements (Materials and Methods, Supplementary Fig. S24-S25). Alignment between HaCh6 and the homologous LG3 of 24 25 Gulf pipefish also did not reveal inversions at the PAR boundary (Supplementary Fig. S26). Within these species' sex-linked regions, we also did not detect any signatures of 26 27 new evolutionary strata; there are no consistent regional differences in male-female  $F_{st}$ values, nor in the numbers of sites that are predominantly heterozygous in males but 28 29 homozygous in females (Figure 3A-B, Supplementary Fig. S17). Based on sex-linked 30 genes in which all variable sites are heterozygous in all *H. abdominalis* males but homozygous in females (1.12% of the sex-linked genes), and after excluding individuals 31 that likely have undergone sex-reversals (Figure 2E-F), we estimated the average 32 synonymous site divergence between the X and Y (K<sub>s</sub>) to be 0.002; in *H. erectus* the 33 corresponding K<sub>s</sub> estimate was 0.006 (in which 423, or 41.6%, of the sex-linked genes 34 satisfied this criterion). As this must over-estimate the divergence, the very low values 35 indicate that both species' sex-linked regions either originated very recently, or, more 36 likely, based on the evidence above, still recombine, albeit at a very low rate. Even in 37 HaChr6, in which F<sub>ST</sub> between the sexes is guite low (Figure 3A), significant changes in 38 F<sub>ST</sub> and GC content coincide, supporting the conclusion that their recombination rates 39

have been different over considerable evolutionary times, and thus our classification into PAR versus MSY regions. One of the *H. abdominalis* PAR boundaries identified above coincides with an ancient chromosome fusion site (**Figure 3D**), suggesting that the sex-linked region

- ancient chromosome fusion site (Figure 3D), suggesting that the sex-linked region
   evolved near an ancestral centromere created in a centric fusion event. The HaChr6
- 6 sex-linked region represents the larger of two ancient fish microchromosomes (the
- 7 homolog of the spotted gar LG11), and the PAR represents the smaller one
- 8 (homologous with the spotted gar LG24) involved in the fusion, with the centromeric
- 9 region separating them (Figure 3D). The chromosome arm that evolved a male-
- 10 determining factor might already have been largely non-recombining in males, or may
- 11 have stopped recombining subsequently, but the other arm could have remained
- 12 unaffected, and would be unlikely to stop recombining by a pericentric inversion, as
- these are rare events (although, as mentioned in the Introduction, recombination
- suppression can evolve without an inversion). Selection may not have favored
   suppressed recombination on this arm, perhaps because no SA polymorphisms
- became established in this small chromosome arm in the short evolutionary time since
- HaChr6 became a sex chromosome.
- Different TE subfamilies are specifically enriched at the PAR boundaries of both
- seahorse species studied, compared with their genome averages. In *H. abdominalis*, long
- 20 interspersed nuclear element (LINE) L1 elements showed a 6.82-fold enrichment, and
- the DNA transposon Mule-MuDRs showed a 6.03-fold enrichment. In *H. erectus*,
- LINE/L1-Tx1 sequences were 6.67-fold enriched at the PAR boundary (Figure 3A-B).
- 23 However, analyses of Illumina reads spanning the TE insertions yielded no evidence
- that they are male-specific. In the other seahorse and Gulf pipefish species, neither
- 25 LINE/L1 nor LINE/L1-Tx1 elements were enriched in the homologous regions
- (Supplementary Fig. S27), suggesting that these are recent species-specific insertions of
   these TEs.
- 27 28

1 2

3

## 29 Candidate sex differentiation genes in the two seahorse species

The different locations of the sex-linked regions in the two seahorse species (Figure 2D) 30 implies independent acquisition of a male-determining gene in at least one species, and 31 32 parts of HeChr4 and HaChr6 must have been evolving under at least partial sex-linkage for different evolutionary times. These regions may have become enriched for genes 33 participating in gonadogenesis and gonad differentiation, and, as mentioned in the 34 introduction, changes in expression of genes in the region with sex-related functions, 35 including in the male pouch, might also evolve after their male-determining factors 36 37 evolved (Ellegren and Parsch 2007). To identify genes of these kinds, we searched gonad transcripts from *H. abdominalis* and *H. erectus* (Supplementary Fig. S28, Materials 38 and Methods) for genes transcribed at different levels in gonads of either sex compared 39 with other tissues, or whose transcription patterns have changed compared with their 40

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autosomal orthologs in the other seahorse or Gulf pipefish species. As it is unclear

exactly when in development sex determination is initiated in seahorse species, we
 sampled tissues spanning different developmental stages, from the time when gonads

sampled tissues spanning different developmental stages, from the time when gonads
 first become morphologically visible until they become distinctly different in the two

5 sexes. The genes described below are therefore more likely to function in sex

6 differentiation processes than in sex-determination, especially if they are only transiently

7 expressed in one sex. Nevertheless, sex differences in expression of the sex-

8 determining genes sometimes extend to late developmental stages. For example, in

9 amphibians *AMH* is predominantly expressed in differentiating testes, after the sex-

10 determining stage (Pan, Kay, et al. 2021).

The HeChr4 sex-linked region includes 1,018 identified genes, one of which, 11 12 CYP11A1, may act during male gonad differentiation. In both seahorse species, CYP11A1 showed testis-biased transcription (relative to other male somatic tissues, including 13 muscle, brain, kidney, and brood pouch). Its transcript levels increased during testis 14 development and male gestation in *H. erectus*, consistent with an important male function 15 having evolved in this species (Supplementary Fig. S29). In H. abdominalis, this gene is 16 not sex-linked, and the average expression level across different testis development 17 stages was 5.38 times lower than in H. erectus, and transcript levels decreased during 18 testis development (Figure 4A, Supplementary Fig. S29). Its mammalian ortholog 19 20 encodes a key steroidogenic enzyme (Morohashi, et al. 1993), and it was reported to have sex-biased transcription which changes during gonad development in other 21 teleosts, including Nile tilapia and olive flounder (Ijiri, et al. 2008; Liang, et al. 2018). 22 Another candidate male-determining gene is WT1, which was reported to be essential 23 24 for mammalian male determination (Hossain and Saunders 2001), and showed higher 25 transcript levels in *H. erectus* testis than somatic tissues. Its transcript level also increased during testis development and male gestation (Supplementary Fig. S29), but 26 was 2.5 times lower on average than in H. abdominalis. 27 28 The HaCh6 sex-linked region includes 624 identified genes, none of which is 29 orthologous to known vertebrate sex-determining or sex-differentiation genes. This 30 species' sex determination may have arisen via a turnover event, as outlined above,

and may involve a gene with no previously known function in gonad development, as
was found for the rainbow trout male-determining gene *sdY* (Bertho, et al. 2018). One

33 interesting sex-differentiation candidate gene is present, however; this is *MSH*<sub>5</sub>, whose

mouse ortholog is required for proper meiosis (de Vries, et al. 1999) (mouse mutants
 show reduced gonad size and infertility in both sexes (Edelmann, et al. 1999). This

36 gene is transcribed at a 47-fold higher level in the immature *H. abdominalis* testis and 24-

fold higher in the mature testis, relative to ovary or somatic tissues at the same stage;

the *H. erectus* ortholog shows no such bias, and had an average transcript level in testis

39 6.51 times lower than in *H. abdominalis* (Figure 4A, Supplementary Fig. S29). *MSH*<sub>5</sub> is

40 located close to the putative HaCh6 centromere.

Sex chromosome turnovers may also be followed by changes in transcription of 1 genes involved in the sex differentiation pathway located elsewhere in the genome. To 2 test this, we compiled a list of 72 genes previously reported to be involved in gonad 3 development, sex determination or gonad differentiation of other teleost species (Chen, 4 et al. 2018; Guiguen, et al. 2018; Shen and Wang 2018; Taboada, et al. 2018) (Figure 5 4A, Supplementary Fig. S<sub>30</sub>). Most of these genes are autosomal in both seahorse 6 7 species studied here, and most showed gonad transcription patterns similar to those of their orthologs in the other seahorse species or other teleosts. For example, orthologs 8 9 of the generally male-determining genes DMRT1 and AMH showed testis-specific 10 transcription in both seahorse species. The ortholog of CYP19A, whose protein product converts androgens to estrogens and plays an important role in female-determination in 11 12 many other teleost species (Shen and Wang 2014), is specifically transcribed in the 13 ovary of H. abdominalis. The orthologs of two germline genes, VASA and PIWI, are transcribed specifically in gonads of both sexes in both seahorse species. Finally, a few 14 genes had the highest transcript levels in the gonads of the opposite sexes in different 15 16 species (Figure 4A). However, we detected intriguing changes in some sex-differentiation pathway 17 genes in *H. abdominalis* (Figure 4A), as might be expected given that a turnover must

18 have occurred in this species (although the event is recent enough that changes might 19 20 not have occurred). None of these genes is in the newly evolved sex-linked region of HaChr6. One example is *FOXL2*, a highly conserved gene that is predominantly 21 22 transcribed in ovary granulosa cells of both mammals and some other teleosts (Bertho, 23 et al. 2016), but shows testis-specific transcription in *H. abdominalis* but not *H. erectus*. In contrast, the GSDF gene, which is the male-determining gene in several other teleosts 24 (Pan. et al. 2016) has become specifically transcribed in the ovary of H. abdominalis. 25 26

27 Evolution of gene transcription in the newly evolved sex-linked region of H. abdominalis Finally, to investigate possible changes in gene expression after genome regions newly 28 29 became sex linked, we compared transcription patterns, particularly in the sex-specific 30 organs (gonads and male brood pouch), in the two seahorse species. During its development, the pouch undergoes drastic tissue remodeling (Whittington, et al. 2015), 31 with 4,505 genes (19.7% of all H. abdominalis annotated genes) changing their 32 transcription between immature and mature pouch stages. The set of genes that were 33 34 differentially expressed between these pouch stages was also enriched in genes with 35 expression differences between male pouch and female epidermal tissue (representing the likely ancestral transcription state) in reproductively mature animals, using a 36 37 threshold of at least twice the mean TPM of the female tissue from specimens (P < 2.2e-16 by Fisher's exact test; Supplementary Fig. S31). We also defined 17 genes as pouch-38 biased, because they had higher expression than in other male tissues at the same 39 developmental stage (muscle, brain, kidney). 8 of these showed higher transcript levels 40

1 in the male pouch than in female abdominal epidermal tissues of *H. abdominalis*. We

- 2 compared the representation of such genes, and genes transcribed at higher levels in
- 3 mature male brood pouch tissue, relative to other tissues at the same developmental
- 4 stage (muscle, brain, kidney), in different *H. abdominalis* genome regions against the
- 5 genome-wide background by Fisher's exact tests. This analysis suggested over-
- representation (*P* <0.05, Fisher's test) of such genes in the region of HaCh6 that</li>
   recently became sex-linked (which includes 17 such genes), but not among HaCh6
- 8 PAR genes (though chromosome 7 also showed an enrichment in such genes, see
- 9 Figure 4B and Supplementary Fig. S<sub>32</sub>). Of the 13 autosomal orthologs of the 17 pouch-
- biased genes in *H. erectus*, 2 are pouch-biased, versus 11 that are not, again consistent
- 11 with changes having evolved in the *H. abdominalis* genome (no orthologs were found on
- the homologous *H. erectus* chromosome for 4 of these genes). Thus some HaChr6
- 13 genes probably evolved increased expression in the male brood pouch after this *H*.
- 14 *abdominalis* chromosome arm acquired the present male-determining gene, as expected
- 15 for male-beneficial mutations.
- Supporting the hypothesis that their male-biased transcription relates to pouch 16 development, the *H. abdominalis* genes with pouch-biased expression are enriched for 17 GO terms including immune response (e.g., 'T cell receptor signaling pathway', 'innate 18 immune response', 'cellular homeostasis' etc.) and hemopoiesis ('leukocyte activation 19 and differentiation', 'hematopoietic organ development' etc.; Supplementary Table S9), 20 consistent with previous results showing that evolution of male pregnancy in seahorses 21 is associated with turnovers of immune-related genes (Roth, et al. 2020). Genes with 22 23 higher transcription levels in female epidermal tissue than male pouch tissue showed no 24 such enriched GO terms (Figure 4C). Mutants of the mouse orthologs of these seahorse genes are also enriched in phenotypic defects in immune or hematopoietic systems (P < 25 26 0.01, Fisher's exact test), while the female epidermal tissue biased genes are not 27 (Figure 4D, Supplementary Table S10).
- The *H. erectus* HeChr4 sex-linked region is enriched for testis-biased genes.
- 29 However, the enrichment probably pre-dates the divergence of these two species, as its
- autosomal homolog in *H. abdominalis* shows the same enrichment (*P* < 0.01 for both,
- regardless of different definitions of tissue-biased genes (see Materials and Methods and
- 32 Supplementary Fig. S33).
- 33
- 34 Discussion
- 35 Sex chromosome turnovers in seahorses and the origins of their extensive non-recombining
- 36 regions
- 37 Sex chromosome changes in the Syngnathidae species appear to have preserved male
- 38 heterogamety, including in the two seahorse species studied here, which diverged
- 39 about 11.4 MYA. This is consistent with the prediction that turnover events preserving

the same heterogametic sex should be more frequent than those changing the

heterogamety (Bull 1983). This has also been found in large comparative studies of true
 frogs (Jeffries, et al. 2018) and cichlids (Gammerdinger and Kocher 2018; El Taher, et

4 al. 2021). It has been suggested that sex chromosome turnovers are responses to

- 5 genetic degeneration and accumulated deleterious mutational load (Blaser, et al. 2013),
- 6 to sexual conflict(van Doorn and Kirkpatrick 2007), or both together in the 'hot potato'

7 model of (Blaser, et al. 2014) (which predicts conservation of patterns of heterogamety

8 and non-random recruitment of certain autosomes as sex chromosomes during their

transition), or to meiotic drive (Úbeda, et al. 2015), or that they are selectively neutral

10 changes under genetic drift (Veller, et al. 2017). The reasons for individual turnover

events are unknown, but many species, including the two seahorse species studied

12 here, do not have strongly degenerated sex-linked regions chromosomes as required by

the hot potato model, which suggests that transitions can occur without extensiveaccumulated deleterious mutations.

A factor that is rarely mentioned is the possibility that the recombination 15 landscape might pre-date a turnover. Ancestrally low recombination across large 16 genomic regions, either in males or in both sexes, favours sex chromosome transitions. 17 18 This is because, if a new male-determining factor arises within such a region, this immediately creates a region of complete (or almost complete) sex linkage that is 19 transmitted like a sex chromosome (Bergero, et al. 2019). The same may have occurred 20 in turnover events in tilapias, which are members of the cichlid group of fish (Tao, et al. 21 2021). 22

23 Localization of crossovers to the ends of chromosomes in meiosis of males (or both sexes) may be widespread among other teleosts (Sardell and Kirkpatrick 2020), as 24 well as in amphibians (Dufresnes, et al. 2021) and plants (Charlesworth 2019; Rifkin, et 25 al. 2021). Accurate fine-scale sex-specific genetic maps are scarce for teleosts, apart 26 27 from the guppy (Bergero, et al. 2019), some sticklebacks (Sardell, et al. 2018; Sardell, et al. 2021), and the Atlantic halibut (Edvardsen, et al. 2022) and Atlantic herring 28 (Pettersson, et al. 2019), so only crossover localization in both sexes will often be 29 detectable. Among these species, strong male-specific crossover localization was found 30 31 in all except for the Atlantic herring. Our results in seahorse species suggest that 32 recombination was indeed ancestrally low in the current Y-linked regions, though no sex-specific recombination map is available in *H. abdominalis* or *H. erectus*, and our 33 34 genome-wide estimates provide only sex-averaged recombination rates. However, as mentioned above, microsatellite analyses in one seahorse species *H. angustus* (Jones, et 35 al. 1998) found a much higher recombination rate in females than in males, and the GC 36 37 spikes at the tip regions of chromosomes in the two seahorses species studied here (Figure 1) suggest a chromosomal recombination landscape similar to that in the other 38 fish species just mentioned. This should be further tested by genetic mapping in these 39 species. Newly developed methods using linked-read sequencing of sperm DNA 40

(Dréau, et al. 2019; Yoshitake, et al. 2022) hold promise for future examination of 2 crossover patterns in seahorse species. We also found that the present boundary between the PAR and putatively sex-3 linked region of HaCh6 coincides with the location of an ancient centric fusion between 4 5 two chromosomes, which occurred long before this chromosome became a sex 6 chromosome. After the fusion, this genome region must have been a centromeric or 7 pericentromeric region, probably with a lower recombination rate than other parts of the new (fused) Chr6, even in females. If crossovers in this ancestral species were localized 8 9 to the chromosome ends in males, the fusion could have created larger regions of low recombination on one or both arms. If the chromosome gained a male-determining 10

- factor in a turnover event, this would create a new Y-linked region. 11
- 12

1

#### Non-randomness of chromosomes involved in sex chromosome turnovers, and subsequent 13

- evolutionary changes in new sex-linked regions 14
- Sex chromosome turnovers can occur in two ways (Pan, et al. 2016; Vicoso 2019), 15
- 16 either through translocation of a gene or gene duplication with a sex-determining
- 17 function to a new genomic location (as in the house fly, see (Sharma, et al. 2017), or
- common seadragon and alligator pipefish (Qu, et al. 2021)), or by acquisition of sex-18
- determining function by a mutation in a pre-existing gene (termed "diversification"). In 19
- 20 the former case, any chromosome might gain a new sex-determining factor, though this
- is most likely when a sexually antagonistic polymorphism is maintained in the new 21
- location (van Doorn and Kirkpatrick 2010). If so, new sex-determiners are expected to 22
- arise in genome regions already carrying genes involved in gonad functions, or other 23
- functions where sexually antagonistic mutations may arise. In the diversification 24 25 process, chromosomes whose ancestral gene content is enriched for genes involved in
- the sex-determining cascade are more likely than others to become sex chromosomes. 26
- In either case, some chromosomes will be expected to gain sex-determining factors 27
- 28 more often than others, as is indeed observed in amniotes (O'Meally, et al. 2012;
- 29 Kratochvíl, et al. 2021) and even within the cichlids (Gammerdinger and Kocher 2018;
- El Taher, et al. 2021). The ancestral gene content could thus have facilitated the gain of 30
- a new male-determining gene. 31

Chr4 is enriched for testis-biased genes in both studied seahorse species (Figure 32 **4B**). It is also the XY sex chromosome in another Syngnathidae species, the common 33 sea dragon (Qu, et al. 2021) (Supplementary Fig. S34) due to a recent insertion creating 34 the AMHR2Y; it is homologous to a part (21Mb or 32.7%) of a Nile tilapia chromosome 35 LG7 (Supplementary Fig. S<sub>35</sub>) that has convergently evolved to become a sex 36 chromosomes in multiple other cichlid species, in Lake Tanganyika (including in the 37

- families of Eretmodini, Bathybatini, Perissodini, and in the species Hemibates stenosoma 38
- 39 (El Taher, et al. 2021); Figure 2D). These results are consistent with the hypothesis that
- the ancestral chromosome carried genes capable of acquiring mutations conferring an 40

- 1 upstream male-determining function, making it especially likely to become a sex
- 2 chromosome (rather than that recent evolution of Y-linkage triggered changes towards
- 3 higher expression in testis).

In contrast, after part of HaChr6 became sex-linked, we detect increased pouch-4 specific transcription that was not seen in the autosomal orthologs in H. erectus. This 5 may reflect the predicted 'masculinization', in which newly Y-linked alleles with male-6 7 related functions undergo adaptive evolution. In a seahorse species, any pouch genes 8 in the new Y-linked region may be the first to adapt to such a genomic change. Pronounced genetic degeneration is probably expected to evolve later than such 9 adaptive changes, as it depends on slower processes involving genetic drift of 10 deleterious mutations, or their hitch-hiking effects along with advantageous mutations, 11 under complete sex-linkage (Bachtrog 2008). Consistent with this, the evidence 12 presented here shows that the seahorse Y-linked regions have not lost high proportions 13 of genes, despite being large genome regions. They may have evolved recently, or 14 degeneration of these regions may have been slowed by occasional recombination with 15 the X, as is suggested by the small Y-X divergence estimates for both HaChr6 and 16 HeChr4. 17 18 19 **Materials and Methods** DNA sampling and sequencing 20 The big-belly seahorse and lined seahorse samples used in this project were obtained 21

- from cultured populations in Fujian (China), with approval by the Animal Ethical and 22 Welfare Committee of the Fisheries Research Institute of Fujian (Approval No. IACUC-23 2019-031). The original population of the big-belly seahorse was established from about 24 25 10,000 individuals caught from the wild, and that of lined seahorse from about 2,000 to 3,000 individuals. Before tissue collection, all the seahorses were cultured in indoor 26 breeding tanks (150L), with seawater from the sea and adjusted to a salinity of 31 ‰ 27 and temperature 16-18 °C. The seahorses were fed three times per day (08:00, 12:00 28 and 16:00) with mysid. All the seahorses were sacrificed after being anaesthetized for 29 30 30 min by MS-222 solution at a concentration of 50 mg/L, which is approved by FDA (Food and Drug Administration, USA). To infer the sex-linked regions, we collected 30 31 32 male and 29 female individuals of *H. abdominalis*, and 10 male and 10 female *H. erectus*. 33 Muscle tissue from each individual was dissected under a stereomicroscope and stored at -80°C until processing. DNA extraction was carried out using EasyPure® Genomic 34 DNA Kit (TransGen Biotech, China). A paired-end library was constructed with an insert 35 size of 250 base pairs (bp) according to the protocol provided by the manufacturer, and 36 37 was sequenced on an Illumina X ten platform by Annoroad Gene Technology Co. Ltd.
- 38 (http://www.annoroad.com).
- 39
- 40 mRNA sequencing and gene expression analysis

We collected tissues from brain, kidney, testis, ovary, male brood pouch and female 1 abdominal skin of *H. abdominalis* individuals, at both immature (3 months old) and 2 mature (5 months) stages. For each tissue sample, we prepared two biological 3 4 replicates for total RNA extractions using the EasyPure RNA Kit (TransGen Biotech, China) following the manufacturer's protocol. Unstranded total RNA library was 5 constructed and sequenced with a read length of 150 bp using the Illumina HiSeq 2000 6 7 sequencing platform. The recently assembled *H. abdominalis* genome (He, et al. 2021), as well as the published genomes of *H. erectus*, *S. scovelli* and *O. niloticus* (Small, et al. 8 2016; Lin, et al. 2017; Tao, et al. 2021) were used as references for gene expression 9 10 analyses. A chromosome level genome assembly of H. erectus was based on the H. abdominalis reference genome (Alonge, et al. 2019) using RaGOO. To investigate 11 biased gene expression in different tissues in H. erectus, we also collected published 12 transcriptome data from H. erectus testis, ovary, brood pouch, muscle and kidney (Lin, et 13 al. 2016). RNA-seq reads were mapped to the respective genomes using Hisat2 (Kim, 14 et al. 2015)(2.1.0) and the reads mapped to each gene were counted uing 15 featureCounts version 1.6.2 (Liao, et al. 2014). Read counts were normalized using the 16 TPM (Transcripts Per Kilobase per Million reads) method. Differentially expressed gene 17 analyses to compare tissue types, developmental stages and sexes were performed 18 with the edgeR R packge (Robinson, et al. 2010). Genes with low expression were 19 filtered with the filterByExpr function in the edgeR package, using the default 20 parameters (min.count = 10, min.total.count = 15, min.prop = 0.7). We used the 21 Benjamini and Hochberg's algorithm (BH) to control the false discovery rate (FDR). We 22 23 classified only genes with BH-adjusted P-value <0.05 (exact negative binomial test) as significantly differentially expressed. After excluding genes not expressed (TPM<1) in 24 any tissue, we estimated each gene's tissue-specificity according to the tau (T) 25 26 algorithm(Yanai, et al. 2005), which performed best in recognizing tissue-specific genes in a benchmark study(Kryuchkova-Mostacci and Robinson-Rechavi 2017). To enable 27 comparisons across different tissues, we performed a quantile normalization on the 28 entire dataset before calculating tau values using the tispec R-package 29 (https://rdrr.io/github/roonysgalbi/tispec). The threshold for a gene to be classified as 30 tissue-specific was set to 0.8, following a previous study (Kryuchkova-Mostacci and 31 Robinson-Rechavi 2017). If a tissue-specific gene defined by tau value also had a top-32 three normalized expression level in a tissue, we classified it as expressed specifically 33 34 in that tissue. We also did analyses after defining tissue-biased genes as genes with at 35 least two-fold greater TPM than the mean TPM in other tissues of the same 36 developmental stage, to test whether the conclusions our robust to different definitions. Annotation of repetitive sequences 37 38 We used RepeatModeler version 2.0 (Flynn, et al. 2020) for *de novo* identification of

repetitive elements in the genome sequences of the species studied here, and to

- 1 classify them based on their sequence similarity to known repeat families from other
- 2 organisms. We then combined the all repeat families identified with
- annotated repeats in the Dfam\_3.1(Hubley, et al. 2016) and RepBase-20170127
- 4 databases and used RepeatMasker version 4.0.9 (Tarailo-Graovac and Chen 2009) to
- 5 annotate the repeat sequences in our genome sequences.
- 6 **Comparative genomics analysis**
- 7 Chromosome-level genome assemblies of teleost species, the Gulf pipefish, S. scovelli
- 8 (Small, et al. 2016), Nile tilapia, Oreochromis niloticus (Tao, et al. 2021) and Guppy,
- 9 Poecilia reticulata (Kunstner, et al. 2016), as well as the genome of spotted gar, L. oculatus
- 10 (Braasch, et al. 2016) were aligned to the chromosome-level assembly of the big-belly
- seahorse, *Hippocampus abdominalis* (He, et al. 2021), using a large-scale alignment tool,
- 12 LAST (https://gitlab.com/mcfrith/last), using the commands: lastdb -uNEAR -
- 13 R01 ./out.ref ref.genome.fa; lastal -P32 ./out.ref query.genome.fa | last-split > ./out.maf;
- 14 maf-swap ./out.maf | last-split > ./out.1to1.reverse.maf; maf-
- 15 swap ./out.1to1.reverse.maf > ./out.1to1.maf. Syntenic relationships were visualized
- 16 with the Circos software, version 0.69.9 (Krzywinski, et al. 2009).
- 17 Identification of the candidate sex determining regions
- 18 To attempt to identify the sex-linked region of Syngnathus scovelli (the Gulf pipefish),
- demultiplexed ddRAD-seq data (Flanagan and Jones 2017) from males and females
- 20 (accession #: PRJNA358088) were downloaded from NCBI Sequence Read Archive
- 21 (SRA) . Demultiplexed reads were analyzed with the RADSex computational workflow
- 22 (Feron, et al. 2021) using the *radsex* software version 1.1.0
- 23 (https://github.com/SexGenomicsToolkit/radsex). Tables of sex-associated markers and
- their depths of coverage were created with *process* command. The distribution of
- 25 markers in the two sexes was computed with *distrib*, and markers significantly
- associated with phenotypic sex were extracted with *signif* using variants with a minimum
- depth of 5 (-d 5) for both commands, and the default for all other settings. 611,484
- markers with minimum depth = 5 were found in at least one individual, among which
- 419 were significantly associated with sex (*radsex signif*, P < 0.05, Chi-squared test with
- Bonferroni correction, highlighted tiles in **Supplementary Fig. S15**). Among these 419
- markers, 53 (12.6%) had reads aligned to unanchored scaffolds, and 263 (62.8%) had
- reads not uniquely aligned with mapping quality higher than 15. The remaining 103
- 33 markers were distributed sparsely among the 22 LGs, with between a and 15 markers
- on each LG.  $F_{ST}$  between the Gulf pipefish male and female populations was computed
- with the --fstat option in the Stacks software, version 2.5.3 (Rochette, et al. 2019).
   We performed whole genome resequencing of 30 male and 29 female individuals
- to infer the sex-linked region in *H. abdominalis*, plus 10 male and 10 female individuals of
- 38 *H. erectus*. For each individual, sequencing reads were mapped to the reference genome
- with BWA-mem (Li and Durbin 2009) (0.7.17-r1188). We called the SNPs using
- 40 HaplotypeCaller in GATK (DePristo, et al. 2011) (3.8.1.0) and then called the genotypes

with GenotypeGVCFs. The SNP results were filtered with following filtering expression: 1 "QD < 2.0 || FS > 60.0 || MQRankSum < -12.5 || RedPosRankSum < -8.0 || SOR > 3.0 || 2 MQ < 40.0". Only biallelic sites with minor allele frequency greater than 0.05 were kept. 3 A total of 6,115,914 and 5,603,399 SNPs in *H. abdominalis* and *H. erectus*, respectively, 4 passed the quality control. We used Beagle version 5.0 (Browning, et al. 2018) to 5 impute missing genotypes, and SHAPIT v2.r904 (Delaneau, et al. 2013) for haplotype 6 7 estimation (phasing). A genome wide association study (GWAS) was performed by 8 mixed-model association using eXpedited (beta-07Mar2010 version of EMMAX); (Kang, et al. 2010), using sex as a phenotype. Phased genotypes were processed with PLINK 9 v1.90b6.10 (Purcell, et al. 2007); http://pngu.mgh.harvard.edu/purcell/plink/) to 10 generate the input for EMMAX. The threshold for genome-wide significance in the 11 GWAS was set at P-value = 8.18e-9 for *H. abdominalis*, and 8.92e-9 for *H. erectus* by 12 dividing 0.05 with the number of total SNPs in each species. For *H. abdominalis*, the sex-13 linked region was inferred based on presence of SNPs significantly associated with sex 14 15 on HeChr6 by the GWAS analysis. Phasing either with or without imputation did not influence the enrichment of sex-associated SNPs in the sex-linked region of H. 16 abdominlais Chr6 or H. erectus Chr4. The boundaries of the HeChr4 region were inferred 17 on the same basis and using the density of sites at which males are heterozygous and 18 females are homozygous. We used nucmer (Marcais, et al. 2018) to infer the 19 homologous regions of identified seahorse sex-linked regions in other species. Only 20 21 one-to-one homologous alignments were kept. We validated the genotypes of 10 22 randomly picked *H. abdominalis* sex-associated SNP sites by performing polymerase chain reaction (PCR) experiments using FastLong PCR MasterMix (PC8001, Aidlab) 23 24 according to the manufacturer's protocol, followed by sequencing to identify the SNP genotypes. The primers used are listed in Supplementary Table S8. 25 We used 50kb overlapping sliding windows with step size 25kb to calculate the 26  $F_{sT}$  values between male and female populations, using vcftools version 0.1.13 27 (Danecek, et al. 2011), and coverage depths of merged reads from males or females, 28 using sambamba version 0.7.0 (Tarasov, et al. 2015). Heterozygous sites found only in 29 males were defined after excluding the possibly sex-reversed individuals (see the 30 Results section). Synonymous site divergence between X and Y was calculated based 31 32 on such male-specific SNPs using the KaKs Calculator software (Zhang, et al. 2006) and Nei and Gojobori's (Nei and Gojobori 1986) correction for multiple changes. Fis was 33 calcaulated according to Nei (Nei 1973),  $F_{IS} = 1 - H_o/H_e$ , where  $H_o$  and  $H_e$  represent 34 observed and expected heterozygosities of each SNP. 35 Recombination rates (rho) were estimated after excluding SNPs with >10% 36 missing individuals in our sample, or located within repetitive regions, using 37 FastEPRR2.0 (Gao, et al. 2016) with parameters winLength=50000, stepLength=25000, 38 winDXThreshold=10. Changepoint analyses of GC%, F<sub>ST</sub>, the density of male-specific 39

- 1 the R package "changepoint" (Killick and Eckley 2014) to implement the binary
- 2 segmentation method (Bai 1997), with a maximum of 3 changepoints; change-points
- 3 were detected based on both mean value and variance.
- 4 Searches for inversions in the *H. abdominalis* and *H. erectus* genomes
- 5 We searched for read pairs supporting inversions in our candidate sex-linked region
- 6 based on their orientation and mapping coordinates, using TIDDIT (Eisfeldt, et al. 2017)
- 7 (<u>https://github.com/SciLifeLab/TIDDIT</u>). Read pairs aligning on the same chromosome
- 8 at a distance higher than the insert size, and having the same orientation, were
- 9 considered as candidates supporting inversions. The fractions of read pairs supporting
- inversion events were used to define the genotypes in these regions, defining fractions
- 11 less than 100% and greater than 25% as candidate heterozygotes, and less than 25% 12 as homozygotes for the reference arrangement. Inversion events were identified in
- as homozygotes for the reference arrangement. Inversion events were identified in
   PacBio sequences using npINV (Shao, et al. 2018). PacBio reads containing a pair of
- alignments mapping to the same chromosome, but with a different orientation, were
- 15 considered to support inversions.

## 16 A/B compartments

- We processed the Hi-C data (He, et al. 2021) with HiCPro (Servant, et al. 2015).
- 18 Mapped read-pairs were used to generate raw Hi-C contact matrix at a 50kb resolution
- using hicBuildMatrix of the HiCExplorer (2.2.1) suite (Wolff, et al. 2018; Wolff, et al.
- 20 2020). We used the ICE method implemented in hicCorrectMatrix to remove the bins
- 21 with zero or low number and extremely high number of reads because the former bins
- 22 usually tend to indicate repetitive regions and the latter bins may represent copy
- number variations. After correcting the Hi-C matrices (hicCorrectMatrix), we used
- cooltools (0.3.2)(https://cooltools.readthedocs.io/) and its call-compartments function to
- 25 obtain the first eigenvector values (PC1) of each chromosome of the Hi-C matrices with
- a 50kb resolution. Regions with positive PC1 values were assigned as A (active)
- 27 compartments and those with negative PC1 values were assigned as B (inactive)
- compartments, adjusted by the GC percentage of the region.
- 29 Gene Ontology (GO) and phenotype enrichment analysis
- 30 Orthologs of *H. abdominalis* and *H. erectus* found in the *Danio rerio* (zebrafish) genome
- assembly GRCz11 (danRer11) and *Mus musculus* (house mouse) genome assembly
- 32 GRCm39 (mm39) from NCBI (National Center for Biotechnology Information) were used
- as input in Gene Ontology and phenotype enrichment analysis. We performed the GO
- analyses by Metascape (Zhou, et al. 2019) with default parameters (P<0.01, min
- overlap=3 and min enrichment=1.5). We used phenome2 (Weng and Liao
- 36 2017)(<u>http://evol.nhri.org.tw/phenome2/</u>) to detect the enriched phenotypes in each
- 37 gene set. Zebrafish and mouse phenotypes with Bonferroni corrected *P*-value < 0.01
- 38 (Fisher's exact test) were considered as enriched.
- 39
- 40 Data Accessibility

- 1 Whole genome sequencing reads from both sexes of *H. abdominalis* and *H. erectus*
- 2 individuals, as well as RNA-seq reads of *H. abdominalis* tissues have been deposited on
- 3 NCBI Short Reads Archive under the BioProject Accession Number PRJNA736169.
- 4 Other published data used in this project were listed in **Supplementary Table S11**.
- 5

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- 7 Animal icons are from https://thenounproject.com (including "Testis" icon by Becris,
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- 9 icon by tezar tantular, "Brain" icon by Pixel Bazaar and "Garfish" icon by Pham Thanh
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- 15

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### 1 Figure Legend

- 2 Figure 1. Chromosome evolution and repeat landscapes of seahorse and Gulf pipefish species.
- 3 (A) Phylogenetic tree showing estimated times of appearance (Kumar, et al. 2017) of Syngnathidae
- 4 species, with the Nile tilapia (*O. niloticus*) as an outgroup. The red dot labels the node corresponding to
- 5 the time of the previously reported duplication creating the putatively sex determining gene AMHR2Y
- 6 specific to the two outgroup species to seahorses, *P. taeniolatus* and *S. biaculeatus* (see main text). The
- 7 distributions of sequence divergence from the consensus sequences of the repeat families that have
- 8 expanded in the two studied seahorse species are also shown. The Y-axis represents the genome-wide
- 9 percentages of repeat families with different divergence values. DNA transposons hAT-Charlie and
- 10 TcMar-Tigger, as well as LTR Ngaro families have expanded in *H. abdominalis*, compared
- 11 to *H. erectus*, *S. scovelli* and *O. niloticus*. The divergence times of these species are labelled on the
- 12 cladogram at the left of the repeat landscapes. (**B-C**) Chromosome synteny between *H. abdominalis*
- and two other teleosts: Gulf pipefish (*S. scovelli*) and Nile tilapia (*O. niloticus*). Whole genome
- 14 alignments suggest that most chromosomes of *S. scovelli* and *O. niloticus* have one-to-one homologous
- 15 relationships with those of *H. abdominalis* except for Chr11. (D) Percentage of candidate centromeric
- 16 repeats in *H. abdominalis, H. erectus* and *S. scovelli*, shown in the 5kb-sliding window. Candidate
- 17 centromeric repeats in different species are shown with different colors. A red triangle indicates the
- 18 putative fusion site of Chr11 in *H. abdominalis*, which corresponds to two homologous chromosomes in
- 19 the other two teleosts. (E) GC (blue) and overall repeat content (yellow) of H. abdominalis, H. erectus
- and *S. scovelli* (calculated in each 50kb-sliding window).
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#### Figure 2. Independent evolution of sex-linked regions of Syngnathid species.

3 either in blue (when they suggest male heterogamety) or red (female heterogamety) in the outer track 4 of the circos plot. F<sub>ST</sub> values between males and females are shown in the inner track. Neither suggests a sex chromosome. (B) Heatmap showing individual sequencing depth for all markers present in at least 5 6 5 S. scovelli individuals. Each column corresponds to a marker, and each row corresponds to one male 7 or female individual. Males (blue) and females (red) cluster in separate groups, except for one female 8 and 19 male outliers marked by red arrows. (C-D) Sex-linked regions of H. abdominalis and H. erectus. 9 The figure shows various metrics calculated in 50kb sliding windows across each chromosome, with 10 blue tracks for the -log10 P-values of GWAS tests shown (for sex-associated SNPs with  $P < 10^{-4}$  in H. 11 abdominalis and H. erectus), and green tracks for the numbers of sites that are heterozygous in males and homozygous in females after excluding the possible sex-reversed individuals, while purple tracks 12 show the sites that are heterozygous in females and homozygous in males, and pink tracks show the 13 14 mean F<sub>ST</sub> value between the sexes. Both the GWAS results and increased F<sub>ST</sub> values suggest Y-linked regions occupying large parts of the H. abdominalis chromosome 6 and the H. erectus chromosome 4. 15 (E-F) Genotypes of the 100 most strongly associated SNPs with sex in the GWAS analyses of H. 16 abdominalis and H. erectus (ranked by their P-values). Each row corresponds to one male or female 17 18 individual, and each column corresponds to a SNP within the sex-linked region. In both species, males 19 were mostly heterozygous and females were mostly homozygous at these sites, indicating male

(A) Sex-associated RAD markers in S. scovelli. Markers significantly associated with sex are labelled

- 20 heterogamety. Individuals with the inferred genotype of the opposite sex at all these sites are indicated
- 21 by red arrows. (G) Phylogenetic tree and sex chromosome of Syngnathidae and the more distant
- 22 outgroup Cichlidae species. Sex chromosomes of Syngnathidae and Cichlidae species are colored to
- 23 indicate XY (blue) and ZW (red) sex-determining systems.
- 24

- 1 Figure 3 Repeat and putative recombination landscapes at the seahorse sex-linked regions.
- 2 (A) Part of the sex-linked region inferred on the *H. abdominalis* Chr6 (7.03Mb 22.68Mb). The dark blue
- 3 track shows the sex-associated SNPs identified by our GWAS; the gray track shows the overall repeat
- 4 content, with the inferred centromeric region (based on enrichment of the DNA element Zator, see
- 5 **Figure 1**) labelled by a red triangle, and the candidate sex-determining gene *MSH*<sub>5</sub> indicated with a red
- 6 dashed line; the green track shows the numbers of sites per 50kb that are heterozygous in males but
- 7 homozygous in females, after excluding the possibly sexually reversed individuals; the pink track shows
- the mean F<sub>sT</sub> values between males and females in 50kb windows, and the light blue track shows the
   GC content values; the last track shows the A/B or active/repressive compartment distribution inferred
- GC content values; the last track shows the A/B or active/repressive compartment distribution inferred
   from Hi-C data. (B) Part of the sex-linked region of *H. erectus* Chr<sub>4</sub> inferred based on a high density of
- sex-associated SNPs in our GWAS (1.28Mb 26.1Mb). The centromeric region was inferred from
- 12 enrichment of the DNA element hAT (red triangle), and the candidate sex-determining genes WT1 and
- 13 CYP11A1 are indicated by red dashed lines. Red dashed vertical lines also label the boundary between
- 14 the putatively sex-linked regions and the PAR. Horizontal black lines indicate the different mean values
- 15 of each quantity inferred by change-point analysis. (**C**) GC content of the HeChr4 and HaChr6 sex-linked
- 16 regions. (**D**) Syntenic regions in HaChr6 of *H. abdominalis* and LG24 and Chr11 of *L. oculatus*, the
- 17 spotted gar. Homologous sequences located within the sex-linked region of *H. abdominalis* are shown
- 18 with yellow lines, while gray lines show those in the PAR.

Figure 4 Evolution of sex-related genes associated with the independent sex chromosome

#### 2 evolution

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3 (A) Heatmap showing the transcription patterns of putative sex-determining genes and gonad development genes in different tissues of *H. abdominalis* (blue) and *H. erectus* (yellow). Darker colors in 4 the first row represent later developmental stages. Different intensities of blue indicate the number of 5 6 days after fertilization (DAF), from 90 (light) to 150 (deep), while yellow intensities indicate later stages, 7 150 DAF (lightest), 210 DAF, 240 DAF (pregnant) and 240 DAF (post-pregnant, deepest). Grav boxes 8 represent missing orthologs in H. erectus. Genes are labelled with different colors: blue for male 9 determining or testis development genes, red for female determining or ovary development genes and 10 yellow for genes with functions in both sexes. Blue triangles represent genes whose gonad transcription patterns are similar to those of their orthologs in the other seahorse or a teleost species, and red 11 triangles represent genes whose gonad transcription patterns differ between the species. The suffix "-12 1" added to the gene name indicates that it has multiple copies in the genome. (B) Enrichment on 13 14 different chromosomes of tissue-biased genes (relative to male muscle, brain and kidney tissues) and male pouch-biased genes (relative to female abdominal skin). Heatmap showing the scaled log10 P-15 values of Fisher's tests for chromosomal enrichment. Chromosomes significantly enriched for genes 16 with higher levels in pouch relative to other male tissues are labelled with asterisks (Fisher's exact test, 17 18 P<0.05(\*), P<0.01(\*\*), P<0.001(\*\*\*)). (C) GO enrichment of different categories of genes. Immature 19 male-based: genes that have higher transcription levels in the immature (3 months old) than the 20 mature (5 months old) pouch, and also than in the female abdominal skin at the same stage. Immature 21 female-biased: genes that have a higher transcription level in the immature (3 months old) than the 22 mature pouch (5 months old), but a higher transcription level in the female abdominal skin than the 23 pouch etc. Each node represents one enriched GO term. Nodes of related GO functional terms are 24 shown in similar colors, and the widths of the lines connecting the nodes indicate the similarity between the terms, while the node sizes represent the percentage of input genes belonging to each 25 26 term. (D) Enrichment analysis of homologous mouse genes' mutant phenotypes for different types of 27 H. abdominalis genes, with different colors indicating the P-values of Bonferroni corrected Fisher's 28 exact tests. IFB: immature female-biased, MFB: mature female-biased, IMB: immature male-biased, 29 MMB: mature male-biased. Immune system related phenotypes were enriched in both immature and mature male-biased genes. 30

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